

2021 年度国家自然科学基金外国学者研究基金项目指南

国家自然科学基金外国学者研究基金项目旨在支持自愿来华开展研究工作的外国优秀科研人员，在国家自然科学基金资助范围内自主选题，在中国内地开展基础研究工作，促进外国学者与中国学者之间开展长期、稳定的学术合作与交流。

一、项目说明

（一）项目类型

国家自然科学基金外国学者研究基金项目包括以下 3 个层次：

1. 外国青年学者研究基金项目
2. 外国优秀青年学者研究基金项目
3. 外国资深学者研究基金项目

（二）资助领域和申请代码

数理科学、化学科学、生命科学、地球科学、工程与材料科学、信息科学、管理科学、医学科学。申请代码 1 须根据研究内容选择对应的申请代码，选择最后一级。

（三）资助强度

以下资助资金均为直接费用。

1. 外国青年学者研究基金项目，20 万元/年/项。
2. 外国优秀青年学者研究基金项目，40 万元/年/项。
3. 外国资深学者研究基金项目，80 万元/年/项。

（四）资助期限

- 1 年期项目起止时间为 2022 年 1 月 1 日--2022 年 12 月 31 日；
2 年期项目起止时间为 2022 年 1 月 1 日--2023 年 12 月 31 日。

二、申请人条件

申请人须符合以下条件：

（一）外国青年学者研究基金项目

1. 取得博士学位 6 年以内（2015 年 1 月 1 日后取得）；
2. 具有从事基础研究或者博士后研究工作经历；
3. 保证资助期内每年在依托单位从事研究工作的时间在 9 个月以上；
4. 确保在中国工作期间遵守中国法律法规及自然科学基金的各项管理规定。

（二）外国优秀青年学者研究基金项目

1. 取得博士学位 15 年以内（2006 年 1 月 1 日后取得）；
2. 具有高级专业技术职称(职务)；
3. 具有作为项目负责人承担基础研究课题的经历；
4. 保证资助期内每年在依托单位从事研究工作的时间在 9 个月以上；
5. 确保在中国工作期间遵守中国法律法规及自然科学基金的各项管理规定。

（三）外国资深学者研究基金项目

1. 具有高级专业技术职称(职务)；
2. 应当具有较高的学术造诣和国际影响力；
3. 保证资助期内每年在依托单位从事研究工作的时间在 9 个月以上；
4. 确保在中国工作期间遵守中国法律法规及自然科学基金的各项管理规定。

三、限项申请规定

申请人申请时须遵循以下限项规定：

（一）外国青年学者研究基金项目、外国优秀青年学者研究基金项目、外国资深学者研究基金项目：**同层次项目仅能获得 1 次资助。**

（二）外国青年学者研究基金项目、外国优秀青年学者研究基金项目、外国资深学者研究基金项目申请人，**同年申请或者正在承担合计限 1 项**，申请当年不得作为申请人申请青年科学基金项目、优秀青年科学基金项目[包括优秀青年科学基

金项目（港澳）、优秀青年科学基金项目（海外）]、国家杰出青年科学基金项目、创新研究群体项目。

（三）以下人员不得申请外国青年学者研究基金项目：作为项目负责人获得过外国优秀青年学者研究基金项目、外国资深学者研究基金项目、青年科学基金项目、优秀青年科学基金项目[包括优秀青年科学基金项目（港澳）、优秀青年科学基金项目（海外）]、国家杰出青年科学基金项目、创新研究群体项目资助的。

（四）以下人员不得申请外国优秀青年学者研究基金项目：作为项目负责人获得过外国资深学者研究基金项目、优秀青年科学基金项目[包括优秀青年科学基金项目（港澳）、优秀青年科学基金项目（海外）]、国家杰出青年科学基金项目、创新研究群体项目资助的。

（五）以下人员不得申请外国资深学者研究基金项目：作为项目负责人获得过国家杰出青年科学基金项目、创新研究群体项目资助的。

四、申报说明

（一）申请人注意事项

1.申请人在填报申请书前，应当认真阅读本项目指南和《2021年度国家自然科学基金项目指南》中的相关内容，不符合项目指南和相关要求的项目申请不予受理。

2.申请语言为英文或中文。

3.申报要求。申请人完成申请书撰写后，通过科学基金网络信息系统英文版（<https://isisn.nsfc.gov.cn/egrantweb/>）在线提交电子版申请书及附件材料，无需报送纸质申请书。附件材料为申请人与我校签订的合同（协议）。

合同（协议）应该包括但不限于以下内容：

（1）申请人项目实施期间的生活待遇以及所必需的工作条件；

（2）明确申请人在我校的工作时间（工作期限应覆盖项目执行期）；

合同（协议）需要申请人本人签名，大学法定代表人签名并加盖公章。

（二）时间节点

（1）填报：2021年5月24日后申请人登录科学基金网络信息系统（<https://isisn.nsfc.gov.cn/egrantweb/>）在线填报申请书并上传要求的附件材料；

（2）初审：2021 年 6 月 22 日前各申请人在线提交申请书，学院/研究机构/附属医院将组织审核并反馈修改建议；

（3）复审：2021 年 6 月 25 日下午 17：00 前各申请人在系统中提交初审后的申请书，科研处将复审并反馈；

（4）提交：2021 年 6 月 28 日下午 17：00 前各申请人在系统中提交最终版的申请书，无需打印纸质材料。

Research Fund for International Scientists 2021

The ***Research Fund for International Scientists*** (hereinafter referred to as the **RFIS**) supports international scientists who are ready to come to China's mainland and conduct basic research in areas within the funding scope of the National Natural Science Foundation of China (NSFC), which includes mathematics and physics sciences, chemistry sciences, life sciences, earth sciences, material sciences, engineering, information sciences, management sciences, and health sciences. The aim of the **RFIS** is to contribute to the long-term, sustainable academic collaboration and exchanges between Chinese and international scientists.

I. Types of Grant

The **RFIS** consists of three sub-types:

1. The Research Fund for International Young Scientists (RFIS-I)
2. The Research Fund for International Excellent Young Scientists (RFIS-II)
3. The Research Fund for International Senior Scientists(RFIS-III)

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II. Project Duration

Projects are expected to start on January 1, 2022 and close on December 31, 2022 or on December 31, 2023.

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III. Average Award Amount

For the **RFIS-I**, applicants can request up to 200,000 RMB per year(per project) .

For the **RFIS-II**, applicants can request up to 400,000 RMB per year(per project) .

For the **RFIS-III**, applicants can request up to 800,000 RMB per year(per project) .

All the funding is direct cost.

IV. Eligibility

The **RFIS** is aimed at international scientists with foreign citizenship who are ready to work at Chinese host institution. The host institution must be based in China's mainland and have registered at NSFC.

Specific requirements for each sub-type of the **RFIS** are as follows:

1. RFIS-I

- (1) Doctoral degree obtained within the past 6 years (PhD must have been obtained after January 1, 2015);
- (2) Experience of conducting basic research or postdoctoral research;
- (3) Commitment of working for no less than 9 months each year at the host institution during the implementation of the project;
- (4) Compliance with Chinese laws and NSFC's relevant rules and regulations while conducting research in China.

2. RFIS-II

- (1) Doctoral degree completed within the past 15 years (PhD must have been obtained after January 1, 2006);
- (2) Senior academic title;

- (3) Experience of conducting basic research projects as Principal Investigator (PI);
- (4) Commitment of working for no less than 9 months each year at the host institution during the implementation of the project;
- (5) Compliance with Chinese laws and NSFC's relevant rules and regulations while conducting research in China.

3. RFIS-III

- (1) Senior academic title;
- (2) Outstanding academic achievements and significant international influence;
- (3) Commitment of working for no less than 9 months per year at the host institution during the implementation of the project;
- (4) Compliance with Chinese laws and NSFC's relevant rules and regulations while conducting research in China.

V. Additional Criteria for Eligibility

- 1. The applicants can be granted an RFIS a maximum of once per RFIS sub-type.
- 2. An applicant shall only apply for one RFIS in a year.
- 3. The grantee of an on-going RFIS project shall not apply for a new RFIS project.

4. If the applicant has submitted an application for any of the sub-types of the RFIS, the application shall not apply for the following programs in the same year:

The Young Scientists Fund (YSF)

The Excellent Young Scientists Fund (EYSF, including EYSF for scholars in Hong Kong and Macao and EYSF for overseas scholars)

The National Science Fund for Distinguished Young Scholars (NSFDYS)

The Science Fund for Creative Research Groups (SFCRG)

5. An applicant shall not apply for the RFIS-I if the applicant has been the Principle Investigator of an on-going or completed project of the RFIS-II, the RFIS-III, the YSF, the EYSF, the NSFDYS and the SFCRG.

6. An applicant shall not apply for the RFIS-II if the applicant has been the Principle Investigator of an on-going or completed project of the RFIS-III, the EYSF, the NSFDYS and the SFCRG.

7. An applicant shall not apply for the Research Fund for International Senior Scientists (RFIS-III) if the applicant has been the Principle Investigator of an on-going or completed project of the NSFDYS and the SFCRG.

VI. Procedure of application

Online application

Applicants shall fill out application forms online and upload supplementary documents via the application system (<https://isisn.nsfc.gov.cn/>).

Applicant should also upload an e-copy of the agreement signed by the applicant and the University as a supplementary document of the application form. The agreement should include but not be limited to the following items:

1. The subsistence cost provided by the University which covers the funding period;
2. Specification of the working time of the applicant at the University during the funding period;
3. The agreement should be signed and stamped by the host institution, and the applicant's signature is required.

*** For applicants who have no application account, please send your name, academic title, email address to nikkichen@cuhk.edu.cn by May 24, 2021 to open account.**

Paperless submission

In the stage of submission, applicants shall only submit reviewed application forms online, without submitting any hard copies.

Before submitting the online application form, all applicants should check that they comply with the eligibility requirements and ensure all necessary information is presented in the application. These requirements are strictly adhered to and applications without all the necessary information will be rejected.

VII. Deadline

1. Applicants shall login the application system (<https://isisn.nsf.gov.cn/egrantweb/>) on or after **May 24, 2021** to fill in application forms and upload required supplementary documents;
2. Applicants shall submit application forms online by **Jun. 22, 2021**, the colleges/research institutions/ affiliated hospitals will organize the preliminary review and feedback;
3. Applicants shall submit the revised application forms online by **17:00 on Jun. 25, 2021**, the Research Administration Office will re-examine and feedback;

4. Applicants shall submit application forms (final version) online by **17:00 on Jun. 28, 2021**, without submitting any hard copies.